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 PATENT, TRADEMARK, COPYRIGHT
 AND UNFAIR COMPETITION LAW
 AND RELATED LITIGATION

EDMUND P. WOOD 1923-1968
 TRUMAN A. HERRON 1935-1976
 EDWARD B. EVANS 1936-1971

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August 5, 2004

FACSIMILE COVER SHEET

To: Examiner Thu Ha T. Nguyen
 Mail Stop ISSUE FEE
 Commissioner for Patents
 P.O. Box 1450
 Alexandria, VA 22213-1450

Fax: 703-872-9306

Enclosures:

Fax Cover Sheet containing Certificate of
 Facsimile Transmission (1 page)
 Transmittal containing Certificate of Facsimile
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 Comments on Examiner's Statement of Reasons
 for Allowance (1 page)
 Response Under 37 C.F.R. 1.312 (1 page)
 Copy of PTO/SB/08A filed on 11/19/2003
 (2 pages)
 Copy of PTO/SB/08A filed on 04/23/2004 (1 page)
 Copies of Return Postcards indicating receipt by
 the USPTO of the 11/19/2003 and 04/23/2004
 PTO/SB/08A Forms (2 pages)

From: Scott A. Stinebruner
 Reg. No. 38,323
 Re: U.S. Patent Application
 Serial No. 09/697,398
 Filed: October 27, 2000
 Allowed: July 23, 2004
 Applicant: Robert Miller et al.
 Art Unit: 2155
 Confirmation No.: 2827
 Our Ref: IBM/164

Pages: 9 (including cover sheet)

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Confirmation No. 2827

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Robert Miller et al. Art Unit: 2155
Serial No.: 09/697,398 Examiner: Thu Ha T. Nguyen
Filed: October 27, 2000 Atty. Docket No.: IBM/164
Allowed: July 23, 2004
For: GROUP DATA SHARING DURING MEMBERSHIP CHANGE IN CLUSTERED
COMPUTER SYSTEM

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P.O. Box 1450
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Fax Cover Sheet Containing Certificate of Facsimile Transmission (1 page)
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Copy of PTO/SB/08A filed on 04/23/2004 (1 page)
Copies of Return Postcards indicating receipt by the USPTO of the 11/19/2003 and
04/23/2004 PTO/SB/08A Forms (2 pages)

Please charge any additional fees or credit overpayment to Deposit Account No. 23-3000.

Respectfully submitted,

Date

Date

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Page 1 of 1
Serial No. 09/697,398
Transmittal for Comments and 312 Response of 08/05/2004
IBM Docket KOC920000129US1
WHA/E IBM/164
K:\hdm\164\Comments & 312 Response Transmittal.wpd

Judith L. Volk August 5, 2004
Judith L. Volk Date

PAGE 2/9 * RCVD AT 8/5/2004 1:43:48 PM [Eastern Daylight Time] * SVR:USPTO-EFXRF-1/2 * DNIS:8729306 * CSID:513 241 6234 * DURATION (mm:ss):03-32

Docket No.: 200920000129US1
 Applicant(s): Miller et al.
 Serial No.: 09/691,398
 Filed: October 27, 2000

The U.S. Patent Office acknowledges and has
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November 17, 2003

Affidavit

Amendment

Patent Application Papers - Transmittal

Spec. & Abstract: ____ pages, incl. ____ claims

Declaration

Drawings: ____ sheets

Assignment; Record. Cover Sheet (2 copies)

Info. Discl. Stmt. PTO/SB/08A & Refs.

Preliminary Amendment

Notice of Appeal

Appeal Brief

Petition

Request for Extension of Time

Response _____

NOV 25 2003

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Docket No.: ROC920000129451
Applicant(s): Miller et al.
Serial No.: 09/691,398
Filed: October 27, 2000

The U.S. Patent Office acknowledges and has stamped hereon the date of receipt of the items checked below which were mailed on

April 21, 2004

Affidavit
 Amendment
 Patent Application Papers - Transmittal
 Spec. & Abstract: 1 pages, incl. ~~TRADE AL~~
 Declaration
 Drawings: 1 sheets
 Assignment; Record. Cover Sheet (2 copies)
 Info. Discl. Stmt. PTO/SB/08A & Refs.
 Preliminary Amendment (Supp)
 Notice of Appeal
 Appeal Brief
 Petition
 Request for Extension of Time 5143
 Response 5143
 APR 29 2004
 5143
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INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

Sheet 1 of 2

Application Number.: 09/697,398
 Filing Date: October 27, 2000
 First Named Inventor: Miller et al.
 Art Unit: 2152
 Examiner Name: Unassigned
 Attorney Docket Number.: ROC920000129US1

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number Number - Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns or Lines Where Relevant Passages or Figs. Appear
	A	US - 4,709,365	11-24-1987	Beale et al.	
	B	US - 5,146,590	09-08-1992	Lorie et al.	
	C	US - 5,179,699	01-12-1993	Iyer et al.	
	D	US - 5,502,818	03-26-1996	Lamberg	
	E	US - 5,640,554	06-17-1997	Take	
	F	US - 5,729,687	03-17-1998	Rothrock et al.	
	G	US - 5,973,724	10-26-1999	Riddle	
	H	US - 6,317,867 B1	11-13-2001	Elnozahy	
	I	US - 6,427,148 B1	07-30-2002	Cossock	
	J	US - 6,460,039 B1	10-01-2002	Pinter et al.	
	K	US - 6,529,958 B1	03-04-2003	Oba et al.	
	L	US - 6,564,372 B1	05-13-2003	Babaian et al.	
	M	US - 6,578,032 B1	06-10-2003	Chandrasekar et al.	

FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No. ¹	Foreign Patent Document Country ³ - Number ⁴ - Kind Code ⁵ Code	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns or Lines Where Relevant Passages or Figs. Appear	T ⁶

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¹ Applicant's unique citation designation number (optional). ² See Kind Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).

⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language translation is attached.

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Sheet 2 of 2

Application Number.:	<u>09/697,328</u>
Filing Date:	<u>October 27, 2000</u>
First Named Inventor:	<u>Miller et al.</u>
Art Unit:	<u>2152</u>
Examiner Name:	<u>Unassigned</u>
Attorney Docket Number.:	<u>ROC920000129US1</u>

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

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INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

Sheet 1 of 1

Application Number.: 09/697,398
 Filing Date: October 27, 2000
 First Named Inventor: Robert Miller
 Art Unit: 2155
 Examiner Name: T. Nguyen
 Attorney Docket Number.: ROC920000129US1

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number Number - Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns or Lines Where Relevant Passages or Figs. Appear
		US - 5,883,939	03-16-1999	Friedman et al.	
		US - 6,006,259	12-21-1999	Adelman et al.	
		US - 6,078,957	06-20-2000	Adelman et al.	
		US - 6,216,150 B1	04-10-2001	Badovinatz et al.	
		US - 6,363,495 B1	03-26-2002	MacKenzie et al.	
		US - 6,425,014 B1	07-23-2002	Aiken, Jr. et al.	
		US - 6,449,641 B1	09-10-2002	Mojin et al.	
		US - 6,507,863 B2	01-14-2003	Novaes	
		US -			
		US -			
		US -			

FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No. ¹	Foreign Patent Document Country ³ - Number ⁴ - Kind Code ⁵ Code	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns or Lines Where Relevant Passages or Figs. Appear	T ⁶

Examiner Signature	Date Considered	
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